

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
7 June 2001 (07.06.2001)

PCT

(10) International Publication Number  
**WO 01/41215 A1**

(51) International Patent Classification<sup>7</sup>: **H01L 25/13**,  
25/075, 33/00

Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). **HERMAN, Stephen**; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).

(21) International Application Number: PCT/EP00/11561

(74) Agent: **MULDER, Cornelis, A., M.**; Internationaal Octroibureau B.V., Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).

(22) International Filing Date:  
17 November 2000 (17.11.2000)

(81) Designated States (*national*): CN, JP, KR.

(25) Filing Language: English

(84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

(26) Publication Language: English

(30) Priority Data:  
09/453,420 2 December 1999 (02.12.1999) US

**Published:**

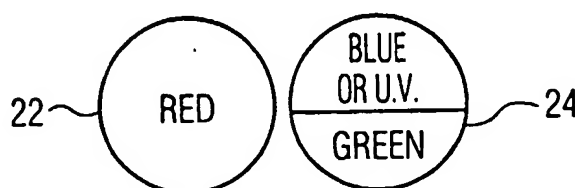
- *With international search report.*
- *Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.*

(71) Applicant: **KONINKLIJKE PHILIPS ELECTRONICS N.V.** [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(72) Inventors: **MARSHALL, Thomas, M.**; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). **PASHLEY, Michael, D.**;

(54) Title: **HYBRID WHITE LIGHT SOURCE COMPRISING LED AND PHOSPHOR-LED**



(57) **Abstract:** A hybrid lighting system for producing white light including at least one light emitting diode and phosphor-light emitting diode. The hybrid lighting system exhibits improved performance over conventional LED lighting systems that use LEDs or phosphor-LEDs to produce white light. In particular, the hybrid system of the invention permits different lighting system performance parameters to be addressed and optimized as deemed important, by varying the color and number of the LEDs and/or the phosphor of the phosphor LED.

WO 01/41215 A1

## HYBRID WHITE LIGHT SOURCE COMPRISING LED AND PHOSPHOR-LED

This invention relates to light emitting diode (LED) lighting systems for producing white light, and in particular to hybrid LED lighting systems for producing white light comprised of LEDs and phosphor-LEDs. The hybrid lighting system exhibits improved performance over conventional LED lighting systems that use LEDs or phosphor-LEDs to produce white light.

Conventional LED lighting systems for producing white light typically comprise either LEDs or phosphor-LEDs. Lighting systems which use LEDs produce white light by combining various combinations of red, green, and blue LEDs. Phosphor-LED based lighting systems produce white light by using one or more various luminescent phosphor materials on top of a blue light LED to convert a portion of the emitted blue light into light of a longer wavelength.

Lighting systems which use LEDs to produce white light are more efficient at the package level than lighting systems which use phosphor-LEDs. However, high quality white light is more difficult to achieve in LED based lighting systems. This is because LEDs manufactured to optimize total lighting system performance and production typically must be combined in an undesirably large integral number of LED chips to provide the requisite quantities of red, green and blue light when operated at full rated power. Moreover, the LED chips must be fabricated in different sizes to achieve the proper balance thus, increasing the production costs of the system. Since the green and blue LED chips are manufactured in the same AlInGaN technology, there are fabrication and cost advantages to making these chips the same size, and reasonably large.

There are other limitations associated with LED based lighting systems. Existing green LEDs operating at the very desirable light spectral wavelength of about 550 nm are very inefficient. The highest luminous-efficacy green LED operates at a less desirable light spectral wavelength of about 530 nm. Further, currently available efficient LEDs make good color rendering difficult to achieve. Good color rendering is possible, but places constraints on specific choices of LEDs.

Additionally, mixing LEDs to produce white light entails material and particularly efficiency costs. More specifically, many highly collimated mixing schemes are binary in that they mix two LEDs at a time. LED based lighting systems typically use three and four LEDs and thus, require two stages of mixing. Unfortunately, each stage of mixing  
5 has an efficiency cost which significantly lowers the performance of the system.

As alluded to earlier, it is easier to produce white light with phosphor-LED based lighting systems as compared with LED based lighting systems because phosphor-LEDs do not require mixing and have lower material costs (they are inherently mixed). However, they are less efficient by a factor of about two at the package level than LED based  
10 lighting systems because of quantum deficits and re-emission efficiencies.

Accordingly, there is a need for a lighting system which combines certain aspects of LED and phosphor-LED based lighting systems to achieve benefits beyond either system.

15

A lighting system for producing white light, the system comprising at least one LED and a phosphor LED disposed adjacent to the at least one LED.

20

The advantages, nature, and various additional features of the invention will appear more fully upon consideration of the illustrative embodiments now to be described in detail in connection with accompanying drawings wherein:

FIG. 1A is a sectional view of a typical LED used in the lighting system of the invention;

25

FIG. 1B is a sectional view of a typical phosphor-LED used in the lighting system of the invention;

FIG. 2 is a schematic diagram depicting a first embodiment of the lighting system of the invention;

30

FIG. 3 is a schematic diagram depicting a second embodiment of the lighting system of the invention

FIG. 4 is a schematic diagram depicting a third embodiment of the lighting system of the invention;

FIG. 5 is a schematic diagram depicting a fourth embodiment of the lighting system of the invention;

FIG. 6 is a schematic diagram depicting a fifth embodiment of the lighting system of the invention; and

FIG. 7 is a schematic diagram depicting a sixth embodiment of the lighting system of the invention.

- 5 It should be understood that these drawings are for purposes of illustrating the concepts of the invention and are not to scale.

The hybrid lighting system of the invention generally comprises selectively  
10 combining one or more certain LEDs with a phosphor-LED consisting of a blue LED and at least one phosphor which emits at certain light spectral wavelength (color), to produce white light.

FIG. 1A schematically depicts a typical LED 10 used in the invention. The LED 10 is conventionally constructed using standard AlInGaN or AlInGaP processing and  
15 comprises an LED chip 11 mounted in a reflective metal dish or reflector 12 filled with a transparent epoxy 13.

FIG. 1B schematically depicts a typical phosphor-LED 14 used in the invention. This LED 14 is substantially identical in construction to the LED of FIG. 1A, except that the epoxy 18 filling the reflector 16 contains grains 19 of one or more types of  
20 luminescent phosphor materials mixed homogeneously therein. The phosphor grains 19 convert a portion of the light emitted by the LED chip 15 to light of a different spectral wavelength.

A primary advantage of the hybrid system of the invention is that it permits different lighting system performance parameters to be addressed and optimized as deemed  
25 important by varying the color and number of the LEDs and/or the phosphor of the phosphor-LED. In particular, the system of the present invention can address and achieve higher available lumens-per-chip averages via balancing red and green and blue light components; smaller integral numbers of LEDs at balance; improved color rendering; and more efficient mixing via the phosphor-LED. This in turn advantageously permits the manufacture of  
30 various types of products which are optimized for various applications using LED chips manufactured with standard AlInGaN and AlInGaP processing.

Each of the following embodiments illustrate how one or more of the above performance advantages can be achieved using the hybrid system of the invention. FIG. 2 schematically depicts a first embodiment of the hybrid lighting system of the invention

denoted by numeral 20. The system 20 comprises a red LED 22 that emits light having a spectral wavelength of about 610 nm and a phosphor-LED 24 consisting of a blue LED that emits light having a spectral wavelength of between about 450 nm and 470 nm, and a phosphor material that converts a portion (typically about 50 %) of the blue light to green light having a spectral wavelength of about 550 nm. This embodiment of the inventive system provides reasonable power balance and achieves an overall system efficiency comparable with or exceeding conventional LED based lighting systems because it eliminates the need for a less efficient green LED (typically emits light having a spectral wavelength of about 530 nm) as employed in conventional LED based lighting systems (the phosphor LED could be fundamentally more efficient than the green LED chip because it has better access to the 550 nm range and thus, has a lumen-per-watt advantage). Moreover, the two LEDs 22, 24 of this embodiment of the system 20 are easier and more efficient to mix, and require less complex LED drive electronics than conventional lighting systems which typically employ three or four LEDs. More specifically, there are many mixing schemes for mixing LEDs. In virtually all of these schemes, less mixing is desirable. For example, in binary mixing schemes, only one mixing stage is required to mix the two LEDs 22, 24 of the inventive system 20 compared with two mixing stages required for conventional LED based lighting systems. Hence, approximately half the mixing losses of conventional LED based lighting systems are incurred with this embodiment of the system. Therefore, significantly higher overall system efficiency can be realized in this embodiment of the system when compared with conventional LED based lighting systems. Color rendering is adequate owing to the 550 nm light provided by the phosphor-LED 24 although the color point can only be adjusted along a line. Consequently, control over color temperature is only possible at the design point. However, such an embodiment of the invention is especially useful for producing a fixed white light in lower cost lighting system applications where less expensive LED drive electronics are desirable and truly versatile control over color temperature is not significantly important.

FIG. 3 schematically depicts a second embodiment of the hybrid lighting system of the invention denoted by numeral 30. The system 30 comprises a red LED 32 (emits light having a spectral wavelength of about 610 nm), a green LED 34 (emits light having a spectral wavelength of about 530 nm) and a phosphor-LED 36 consisting of a blue LED (emits between ~450 nm and ~470 nm) and a phosphor material that converts a portion (about 50 %) of the emitted blue light to red light (about 610 nm). In comparison to conventional LED based systems which typically produce insufficient quantities of red light

and excessive quantities of blue light, the phosphor LED 36 used in this embodiment of the inventive system balances the deficiency in red light output and attenuates the blue light output thereby providing good color balance with only three LEDs. Color rendering and color-temperature control is comparable to conventional LED based lighting systems. In particular, the use of three LEDs can provide maximum lumen content while permitting the color temperature to be freely adjusted, since the red content of the phosphor-LED 36 is sufficiently small to allow a balance to be struck by merely raising and lowering the brightness of the three LEDs via adjustments in the drive currents.

FIG. 4 schematically depicts a third embodiment of the hybrid lighting system of the invention denoted by numeral 40. The system 40 comprises two red LEDs 42, 44 (emits at ~610 nm), a green LED 46 (emits at ~530 nm), and a phosphor-LED 48 consisting of a blue LED (emits between ~450 nm and ~470nm) and a phosphor material that converts a portion of the blue light to green light having a spectral wavelength of about 550 nm. Since the phosphor has a power conversion efficiency of about 50 %, sufficient quantities of blue and green light are generated by the phosphor-LED 48 thereby providing excellent balance and color rendering at the design point owing to the presence of the 550 nm light. Additionally, the 550 nm light produces much greater lumen content, thereby providing this embodiment of the system with a higher lumen output, even when the phosphor's conversion energy loss is factored in.

FIG. 5 schematically depicts a fourth embodiment of the hybrid lighting system of the invention denoted by numeral 50. The system 50 is substantially identical to the system of the third embodiment in that it comprises two red LEDs 52, 54, a green LED 56 and a phosphor-LED 58 that emits blue light and green light. However, the two red LEDs 52, 54 emit light at two slightly different spectral wavelengths (~610 nm and ~595 nm). The red LED emitting at about 595 nm produces a red color that is orange-amber-like to provide further improvements in color rendering.

FIG. 6 schematically depicts a fifth embodiment of the hybrid lighting system of the invention denoted by numeral 60. The system 60 is substantially identical to the system of the third embodiment in that it comprises two red LEDs 62, 64, a green LED 56 and a phosphor-LED 68. However, the phosphor-LED 68 consists of a blue LED with a first phosphor material that emits at a light spectral wavelength of about 550 nm to convert a portion of the blue light to green light and a second phosphor material that converts a portion of the remaining blue light not converted by the first phosphor to an amber or yellow-green

light. This embodiment exhibits further improvements in color rendering and is therefore useful in applications requiring maximized color rendering.

FIG. 7 schematically depicts a sixth embodiment of the hybrid lighting system of the invention denoted by numeral 70. The system 70 combines the features of the fourth and fifth embodiments and thus, comprises a first red LED 72 (emits at ~610 nm), a second red LED 74 (emits at ~595 nm), a green LED (emits at ~530 nm) 76, and a phosphor-LED 78. The phosphor LED consists of a blue LED (emits between ~450 nm and ~470 nm) and a first phosphor material that emits at a light spectral wavelength of about 550 nm to convert a portion of the blue light to green light and a second phosphor material that converts a portion of the remaining blue light not converted by the first phosphor, to an amber or yellow-green light. This embodiment is also especially useful in applications requiring optimized color rendering.

While the foregoing invention has been described with reference to the above embodiments, various modifications and changes can be made without departing from the spirit of the invention. Accordingly, all such modifications and changes are considered to be within the scope of the appended claims.

## CLAIMS:

1. A lighting system (20, 30, 40, 50, 60, 70) for producing white light, the system comprising:

at least one light emitting diode (22; 32, 34; 42, 44, 46; 52, 54, 56; 62, 64, 66; 72, 74, 76) and

5 a phosphor-light emitting diode disposed adjacent to the at least one light emitting diode (24, 36, 48, 58, 68, 78).

2. The lighting system (20, 30, 40, 50, 60, 70) according to claim 1, wherein the phosphor-light emitting diode (24, 36, 48, 58, 68, 78) emits at least two different colors of  
10 light.

3. The lighting system (30, 40, 50, 60, 70) according to claim 1, further comprising at least a second light emitting diode (32, 34; 42, 44, 46; 52, 54, 56; 62, 64, 66; 72, 74, 76).

15 4. The lighting system (30, 40, 50, 60, 70) according to claim 3 wherein the phosphor-light emitting diode (36, 48, 58, 68, 78) emits at least two different colors of light, one of the at least two colors being at least substantially identical to the color emitted by at least one of the light emitting diodes (32, 34; 42, 44, 46; 52, 54, 56; 62, 64, 66; 72, 74, 76).

20 5. The lighting system (50, 70) according to claim 3, wherein the light emitted from the light emitting diodes (52, 54; 72, 74) are of the same general color but have different spectral wavelengths.

25 6. The lighting system (40, 50, 60, 70) according to claim 1, further comprising second and third light emitting diodes.



7. The lighting system (50, 70) according to claim 6, wherein the light emitted from two of the three light emitting diodes are of the same general color but have different spectral wavelengths.

5 8. The lighting system (70) according to claim 7, wherein the phosphor-light emitting diode (78) emits at least three different colors of light.

9. The lighting system (60, 70) according to claim 6, wherein the pure-phosphor light emitting diode chip (68, 78) emits at least three different colors of light.

10

10. The lighting system (40, 50, 60, 70) according to claim 6, wherein the phosphor-light emitting diode emits at least two different colors of light.

11. The lighting system (20, 30, 40, 50, 60, 70) according to claim 1, wherein the  
15 at least one light emitting diode emits red light (22, 32; 42, 44; 52, 54; 62, 64; 72, 74).

12. The lighting system (20, 30, 40, 50, 60, 70) according to claim 11, wherein the phosphor-light emitting diode includes a blue light emitting diode that emits blue light and at least one phosphor that converts at least a portion of the blue light to one of a green light and  
20 a red light.

13. The lighting system (30, 40, 50, 60, 70) according to claim 12, further comprising at least a second light emitting diode (34, 46, 56, 66, 76) which emits green light.

25 14. The lighting system (40, 50, 60, 70) according to claim 13, further comprising a third light emitting diode (44, 54, 64, 74) which emits red light.

15. The lighting system (50, 70) according to claim 14, wherein the spectral wavelength of the red light emitted from the first light emitting diode is different from the  
30 spectral wavelength of the red light emitted from the third light emitting diode.

16. The lighting system (60, 70) according to claim 14, wherein the phosphor-light emitting diode (68, 78) emits light of at least a third different color, the color being selected from the group consisting of amber and yellow-green.

17. The lighting system (70) according to claim 15, wherein the phosphor-light emitting diode (78) emits light of at least a third different color, the color being selected from the group consisting of amber and yellow-green.

5

18. The lighting system (60, 70) according to claim 11, wherein the phosphor-light emitting diode (68, 78) emits light of at least a third different color, the color being selected from the group consisting of amber and yellow-green.

10 19. The lighting system (60, 70) according to claim 12, wherein the phosphor-light emitting diode (68, 78) emits light of at least a third different color, the color being selected from the group consisting of amber and yellow-green.

20. The lighting system (30, 40, 50, 60, 70) according to claim 11, further  
15 comprising at least a second light emitting diode (34, 46, 56, 66, 76) which emits green light.

1/2

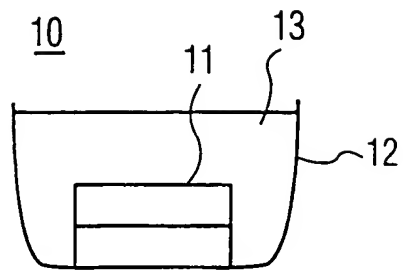


FIG. 1a

14

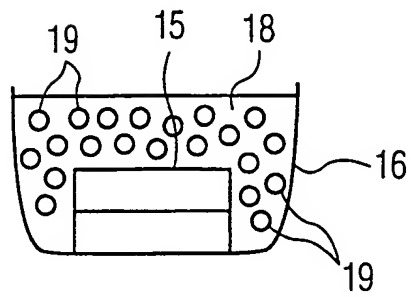
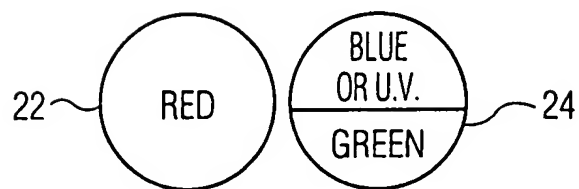


FIG. 1b



20

FIG. 2

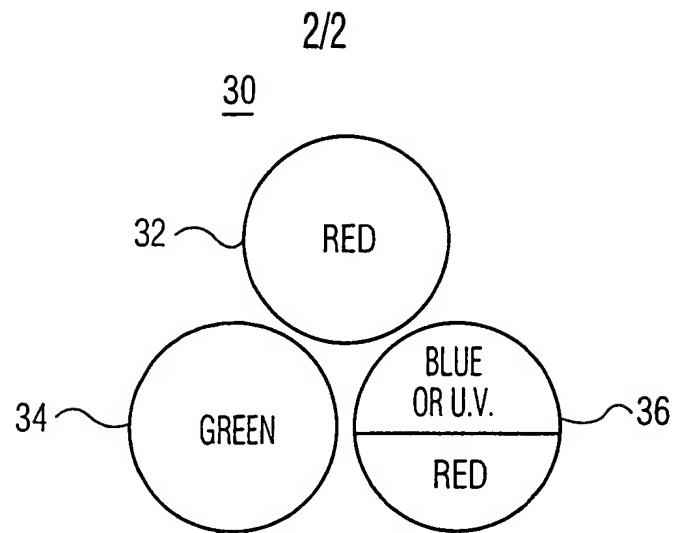


FIG. 3

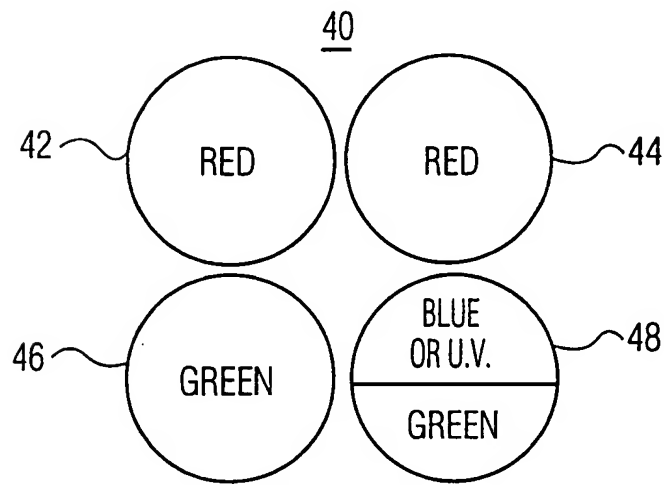


FIG. 4

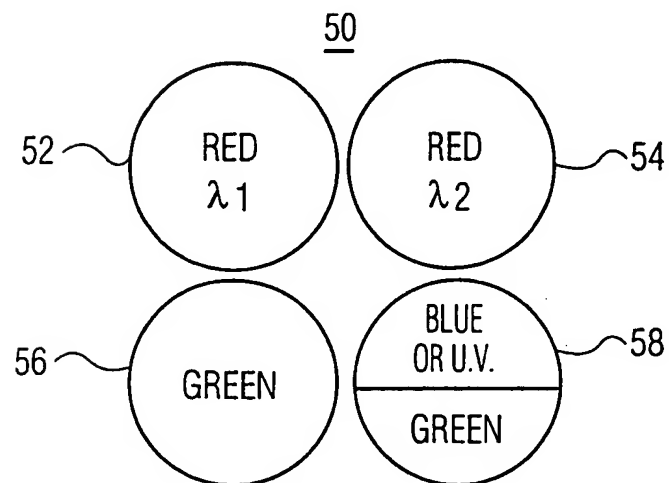


FIG. 5

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7 H01L25/13 H01L25/075 H01L33/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 952 681 A (CHEN H) 14 September 1999 (1999-09-14)	1-4
A	column 1, paragraph 6; example 3 ---	11,12,20
X	WO 97 48138 A (PHILIPS ELECTRONICS NV) 18 December 1997 (1997-12-18)	1-4
	page 9, line 16 -page 11, line 9 ---	
A	US 3 875 473 A (LEBAILLY J) 1 April 1975 (1975-04-01)	1-3,5,11
	the whole document ---	
A	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 05, 31 May 1999 (1999-05-31)	1-3,11, 20
	-& JP 11 039917 A (HEWLETT PACKARD CO), 12 February 1999 (1999-02-12)	
	abstract; figures 1,2,5 ---	
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

**\* Special categories of cited documents:**
**\*A\*** document defining the general state of the art which is not considered to be of particular relevance

**\*E\*** earlier document but published on or after the international filing date

**\*L\*** document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

**\*O\*** document referring to an oral disclosure, use, exhibition or other means

**\*P\*** document published prior to the international filing date but later than the priority date claimed

**\*T\*** later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

**\*X\*** document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

**\*Y\*** document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

**\*&\*** document member of the same patent family

Date of the actual completion of the international search

22 March 2001

Date of mailing of the international search report

29/03/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

van der Linden, J.E.

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 3 875 456 A (KANO T ET AL) 1 April 1975 (1975-04-01) the whole document ---	1-3,11, 20
A	DE 299 14 941 U (EVERLIGHT ELECTRONICS CO) 25 November 1999 (1999-11-25) the whole document ---	1,2
P,X	WO 00 19546 A (PHILIPS ELECTRONICS NV) 6 April 2000 (2000-04-06) the whole document -----	1-4,11, 12

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5952681	A	14-09-1999	NONE	
WO 9748138	A	18-12-1997	EP 0856202 A JP 11510968 T	05-08-1998 21-09-1999
US 3875473	A	01-04-1975	FR 2248663 A CA 1011440 A DE 2361531 A GB 1459455 A IT 1001409 B JP 49100988 A JP 52009994 B US 3942185 A	16-05-1975 31-05-1977 20-06-1974 22-12-1976 20-04-1976 24-09-1974 19-03-1977 02-03-1976
JP 11039917	A	12-02-1999	NONE	
US 3875456	A	01-04-1975	JP 48102585 A	22-12-1973
DE 29914941	U	25-11-1999	NONE	
WO 0019546	A	06-04-2000	EP 1046196 A	25-10-2000